

# AACPP - Session 10

Assignment 5 Solution, Assignment 6 Intro  
Michalis Georgoulakis, Fabian Wenz





# Assignment 5 - BCG





# Assignment 5 Rewind

## Input

3 2

0 0

2 -1

1 2

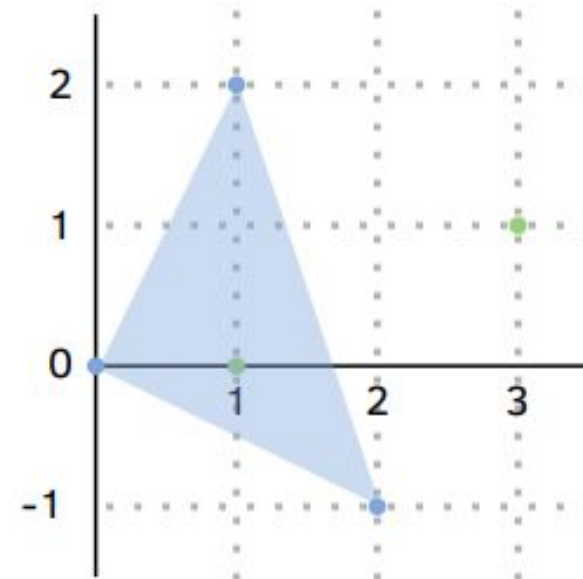
3 1

1 0

## Output

5.0

2.5





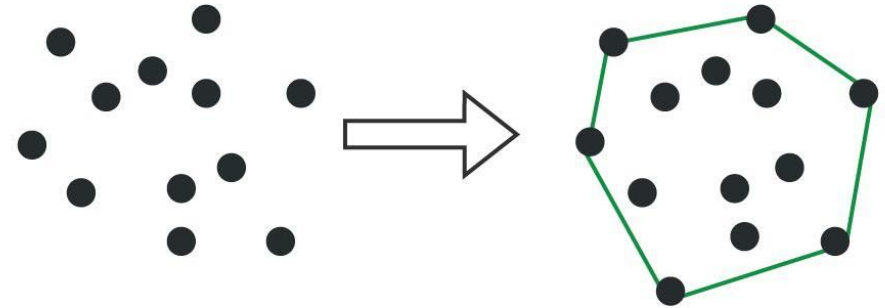
# What Is a Convex Hull?

Given a set of points in the plane:

- The convex hull is the smallest convex polygon
- It contains all given points
- All interior points are irrelevant

Intuition:

- Stretching a rubber band around the points
- Points on the hull define the boundary





# Convex Hull Construction: High-Level Idea



## General Strategy

- Sort points in some order
- Traverse points one by one
- Maintain a candidate hull
- Remove points that break convexity

## Key data structure:

- Stack / vector

## Time complexity:

- Sorting:  $O(n \log n)$
- Processing:  $O(n)$



# Convex Hull Construction: Graham Scan

Steps:

- Find the starting point  $P_0$ :
  - Lowest  $y$ -coordinate
  - If tie: smallest  $x$
- Sort all other points by:
  - Increasing polar angle around  $P_0$
  - Break ties by increasing distance from  $P_0$
- Traverse points in sorted order:
  - Maintain a stack of hull points
  - While the last three points do not form a clockwise turn:
    - Remove the middle point
- The stack contains the convex hull in clockwise order

Key tool: **Orientation test** (cross product)

- Time complexity:  $O(n \log n)$



# Direction (Orientation)

The sign of the cross product tells us the turn direction:

- $\vec{u} \times \vec{v} > 0 \rightarrow$  left turn
- $\vec{u} \times \vec{v} < 0 \rightarrow$  right turn
- $\vec{u} \times \vec{v} = 0 \rightarrow$  collinear

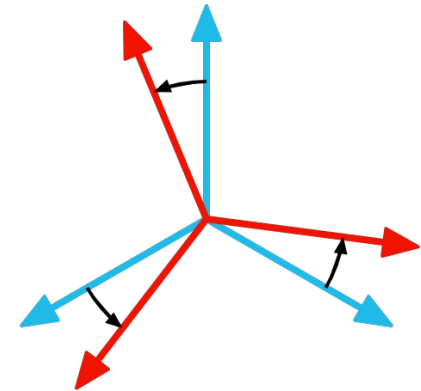
## Orientation of Three Points

- Given three points  $A, B, C$ : Compute  $(B-A) \times (C-A)$

## Interpretation:

- $0 \rightarrow A \rightarrow B \rightarrow C$  is a left turn
- $< 0 \rightarrow$  right turn
- $= 0 \rightarrow$  points are collinear

**This is the orientation test.**





# Assignment 5 Rewind

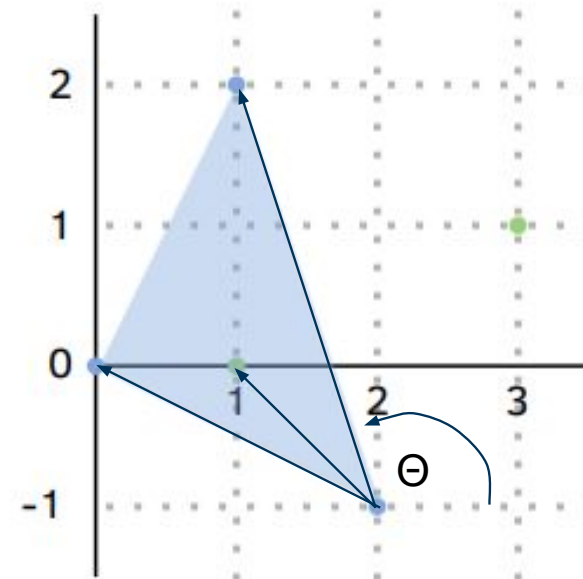
## Sorted Input

2 -1

1 2

1 0

0 0



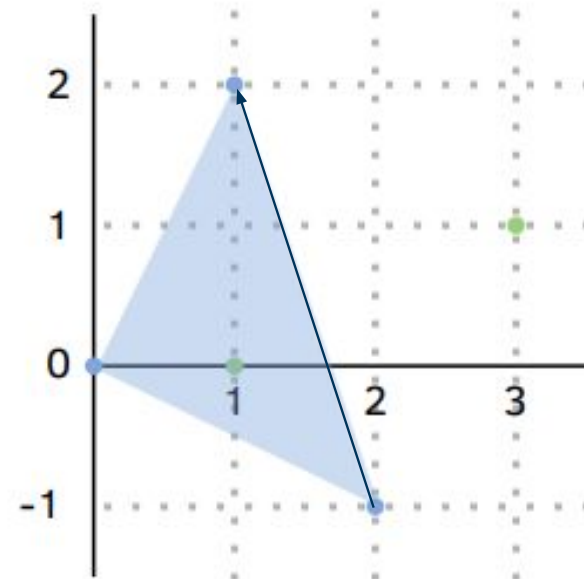


# Assignment 5 Rewind

**Stack**

2 -1

1 2





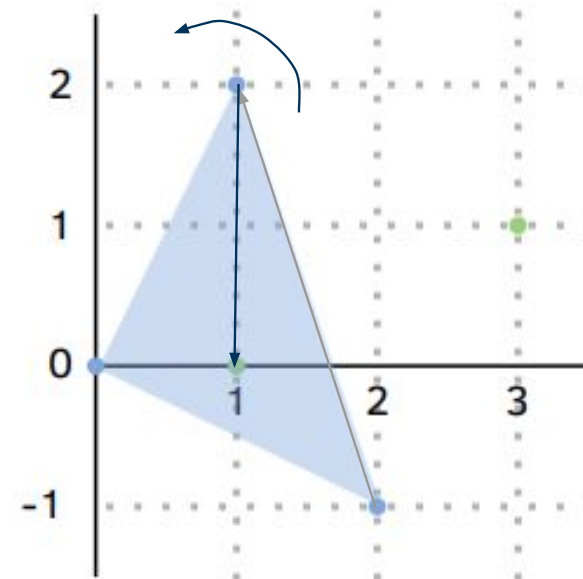
# Assignment 5 Rewind

**Stack**

2 -1

1 2

1 0





# Assignment 5 Rewind

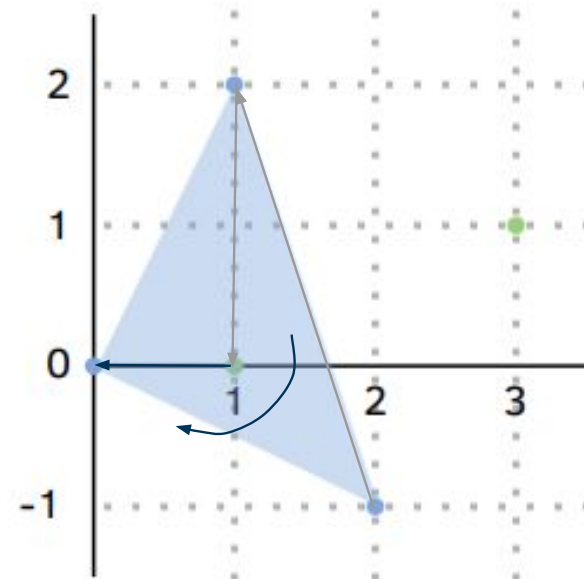
**Stack**

2 -1

1 2

1 0

0 0





# Assignment 5 Rewind

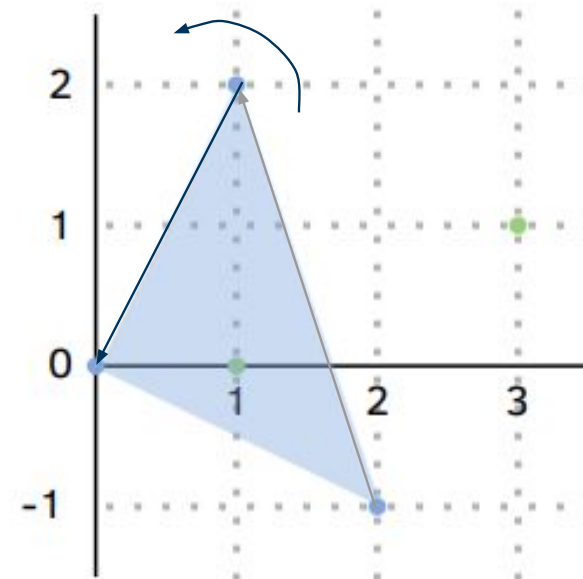
Stack

2 -1

1 2

~~1 0~~

0 0





# Assignment 5 Rewind

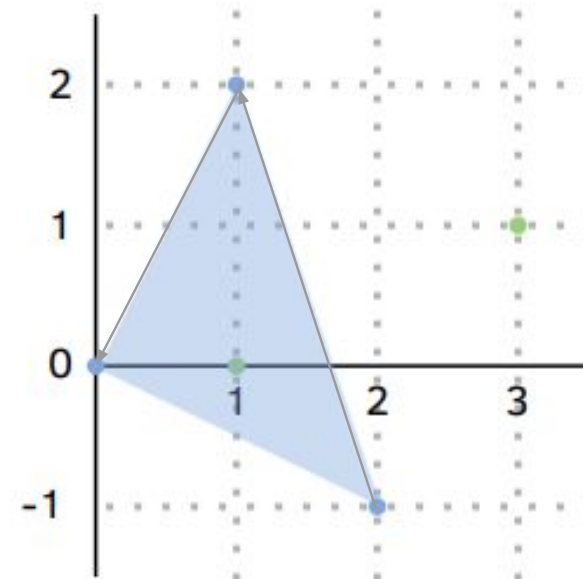
Stack

2 -1

1 2

0 0

$$\mathcal{O}(n \log n)$$





# Geometry



# Geometry Primitives



Geometry problems reduce to **basic operations on points** in Euclidean space which maintain the foundations of analytical geometry.

Most algorithms are built from:

- vector arithmetic
- products (dot / cross)
- orientation tests



# Points & Vectors

## Points and Vectors in 2D

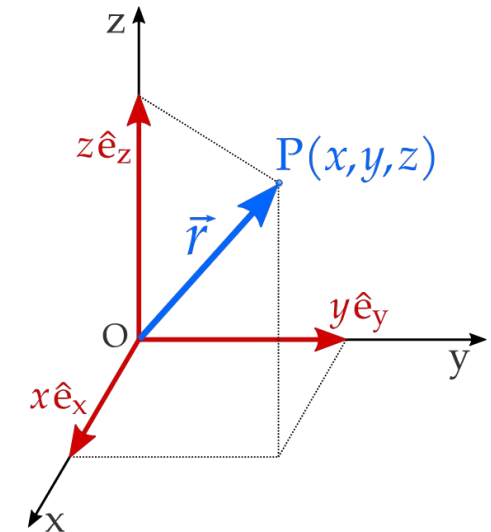
- A point is a pair of coordinates:  $P=(x,y,z)$
- A vector is the difference of two points:  $\vec{AB} = B - A = (x_B - x_A, y_B - y_A)$

## Vector Operations:

- Addition / subtraction  $\vec{u} + \vec{v}$ ,  $\vec{u} - \vec{v}$
- Scalar multiplication  $k \cdot \vec{u}$

## In CP:

- Points and vectors are usually implemented as the same structure
- Operations are just integer arithmetic





# Distance Between Points

## Euclidean Distance

- For points  $A(x_1, y_1)$ ,  $B(x_2, y_2)$

$$d(A, B) = \sqrt{(x_1 - x_2)^2 + (y_1 - y_2)^2}$$

- True geometric distance
- Needed only when the actual length is required

## Squared Distance

$$d^2(A, B) = (x_1 - x_2)^2 + (y_1 - y_2)^2$$

- Avoids square roots
- Preserves comparisons and ordering:  $d(A, B) < d(C, D) \Leftrightarrow d^2(A, B) < d^2(C, D)$
- Faster and numerically safer than calculating sqrt.



# Dot Product

## Definition (Computation)

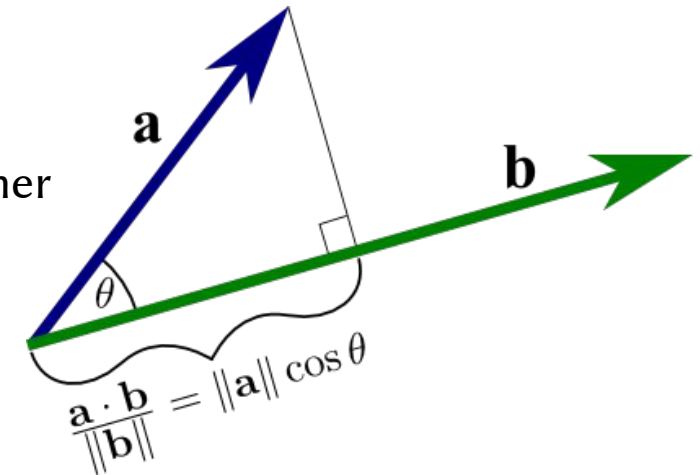
- For vectors  $\vec{u}=(x_1,y_1), \vec{v}=(x_2,y_2)$ :

$$\vec{u} \cdot \vec{v} = x_1x_2 + y_1y_2$$

## Geometric Meaning

- Measures how much one vector points in the direction of another

$$\vec{u} \cdot \vec{v} = \|\vec{u}\| \|\vec{v}\| \cos \theta$$



## What It's Used For (CP)

- Angle classification:
  - acute / right / obtuse
- Projection of a point onto a line
- Distance from point to line



# Cross Product & Orientations

While the dot product tells us about alignment, the cross product tells us about turning and orientation.

## Cross Product (2D)

For vectors  $\vec{u}=(x_1,y_1), \vec{v}=(x_2,y_2)$

$$\vec{u} \times \vec{v} = x_1 y_2 - y_1 x_2$$

- Result is a scalar in 2D
- Sign and magnitude both carry meaning

## Geometric Meaning

Signed Area:  $|\vec{u} \times \vec{v}| = 2 \times \text{Area of the triangle formed by } \vec{u}, \vec{v}$

- Larger magnitude  $\rightarrow$  larger area
- Zero  $\rightarrow$  vectors are collinear



# Direction (Orientation)

The sign of the cross product tells us the turn direction:

- $\vec{u} \times \vec{v} > 0 \rightarrow$  left turn
- $\vec{u} \times \vec{v} < 0 \rightarrow$  right turn
- $\vec{u} \times \vec{v} = 0 \rightarrow$  collinear

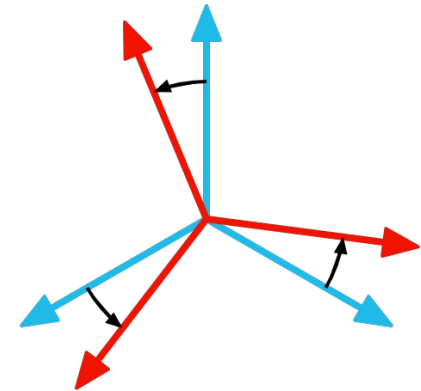
## Orientation of Three Points

- Given three points  $A, B, C$ : Compute  $(B-A) \times (C-A)$

## Interpretation:

- $0 \rightarrow A \rightarrow B \rightarrow C$  is a left turn
- $< 0 \rightarrow$  right turn
- $= 0 \rightarrow$  points are collinear

**This is the orientation test.**





# From Triangles to Polygons

A polygon is a sequence of points  $p_0, p_1, \dots, p_{n-1}$  connected by line segments

- Edges:  $(p_i, p_{i+1}), (p_{n-1}, p_0)$

## Types

- Simple: no self-intersections
- Convex: all internal angles  $< 180^\circ$
- Non-convex: at least one reflex angle

## Key idea:

- Polygons are built from edges and orientations



# Oriented Area of a Triangle

## Signed Area of Three Points

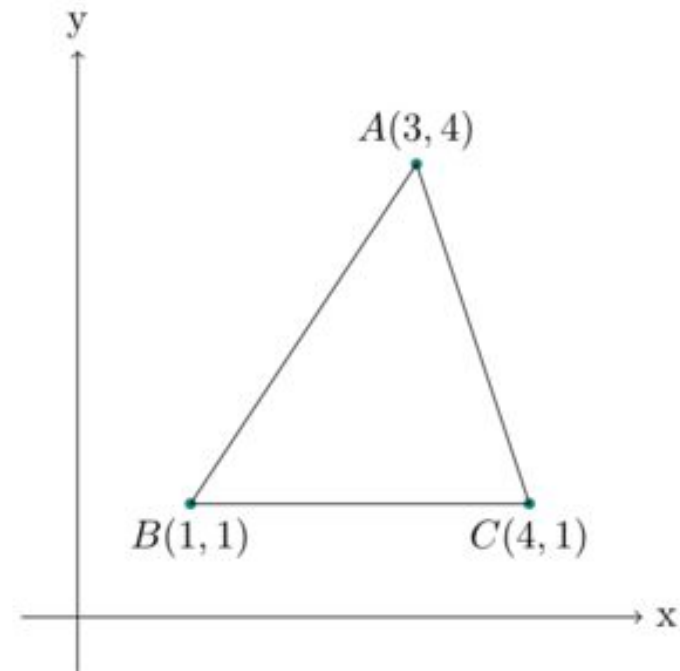
- Given points  $p_1, p_2, p_3$ :  $2S = (p_2 - p_1) \times (p_3 - p_1)$

Interpretation:

- $S > 0 \rightarrow$  counter-clockwise
- $S < 0 \rightarrow$  clockwise
- $S = 0 \rightarrow$  collinear

This formula gives:

- Orientation
- Triangle area
- This is the core primitive behind polygon algorithms





# Polygon Area (Shoelace Formula)

## Area of a Simple Polygon

- For vertices ordered consistently (CW or CCW):

$$2A = \sum_{i=0}^{n-1} (x_i y_{i+1} - y_i x_{i+1})$$

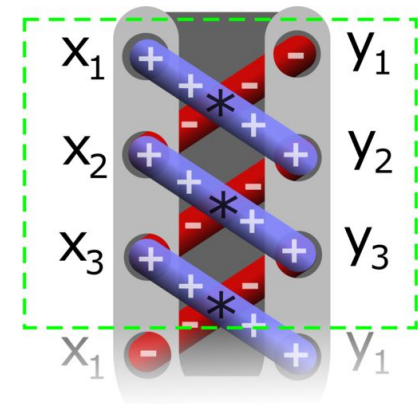
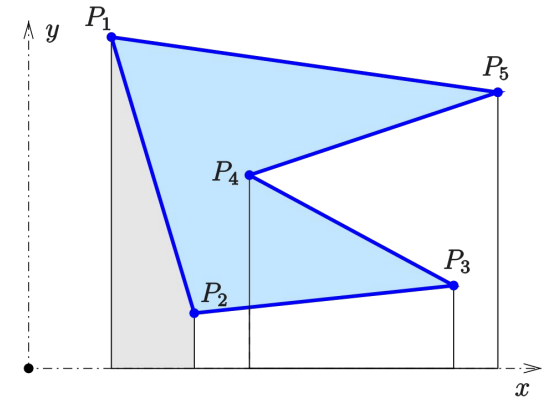
(indices modulo  $n$ )

This is equivalent to:

- Summing signed triangle areas
- Using cross products of consecutive vertices

Properties:

- Works for any simple polygon
- Sign gives orientation
- $|A|$  is the actual area

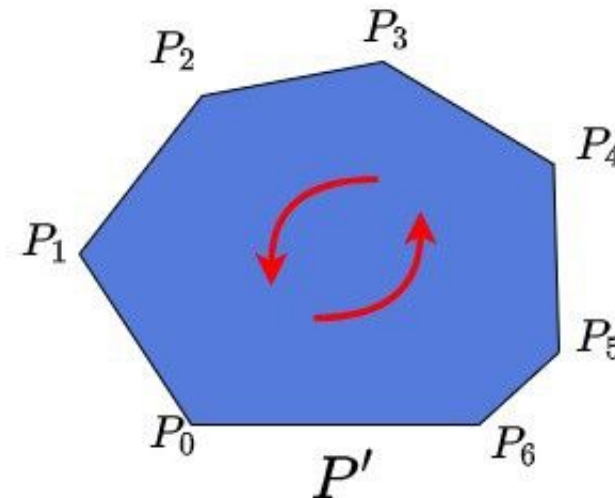
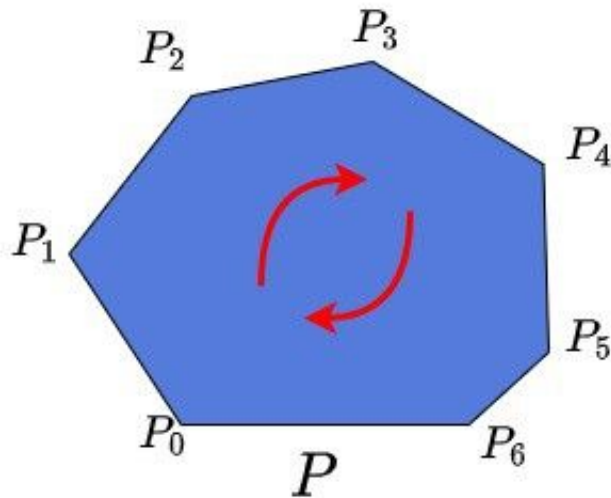




# Orientation of a Polygon

## Clockwise vs Counter-Clockwise

- A polygon has global orientation
- Determined by the sign of its area  $A > 0 \Rightarrow \text{CCW}$ ,  $A < 0 \Rightarrow \text{CW}$





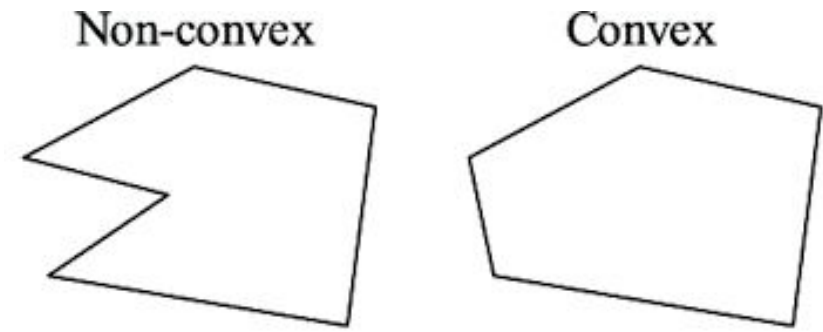
# Convex vs Non-Convex Polygons

## Convex Polygon

- Every turn is in the same direction
- For all triples:  $(p_{i+1} - p_i) \times (p_{i+2} - p_{i+1}) \geq 0$
- Binary search friendly

## Non-Convex Polygon

- At least one “wrong” turn
- Still simple, but harder to process
- This distinction motivates convex hulls





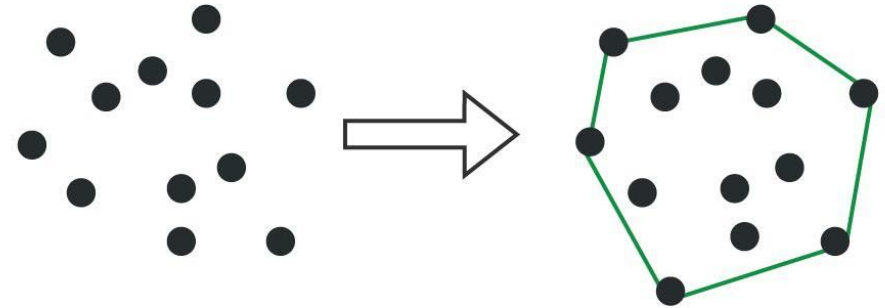
# What Is a Convex Hull?

Given a set of points in the plane:

- The convex hull is the smallest convex polygon
- It contains all given points
- All interior points are irrelevant

Intuition:

- Stretching a rubber band around the points
- Points on the hull define the boundary





# Convex Hull Construction: High-Level Idea



## General Strategy

- Sort points in some order
- Traverse points one by one
- Maintain a candidate hull
- Remove points that break convexity

## Key data structure:

- Stack / vector

## Time complexity:

- Sorting:  $O(n \log n)$
- Processing:  $O(n)$



# Convex Hull Construction: Graham Scan

Steps:

- Find the starting point  $P_0$ :
  - Lowest  $y$ -coordinate
  - If tie: smallest  $x$
- Sort all other points by:
  - Increasing polar angle around  $P_0$
  - Break ties by increasing distance from  $P_0$
- Traverse points in sorted order:
  - Maintain a stack of hull points
  - While the last three points do not form a clockwise turn:
    - Remove the middle point
- The stack contains the convex hull in clockwise order

Key tool: **Orientation test** (cross product)

- Time complexity:  $O(n \log n)$



# Strings



When we talk about strings we usually fix an alphabet  $\Sigma$ , which is a set of characters that strings contain.

Often we use a binary alphabet,  $\Sigma = \{0, 1\}$  (equivalently  $\{a, b\}$ ), or the alphabet of all lowercase English letters,  $\Sigma = \{a, b, \dots, z\}$ . Usually  $|\Sigma|$  is a small constant.



When we talk about strings we usually fix an alphabet  $\Sigma$ , which is a set of characters that strings contain.

Often we use a binary alphabet,  $\Sigma = \{0, 1\}$  (equivalently  $\{a, b\}$ ), or the alphabet of all lowercase English letters,  $\Sigma = \{a, b, \dots, z\}$ . Usually  $|\Sigma|$  is a small constant.

- we will usually use  $a$  for a character and  $w, u$  for words;
- length of a word is given by  $|w|$ ;
- $a^k$  is  $a$  repeated  $k$  times, and  $w^k$  is  $w$  repeated  $k$  times;
- $\Sigma^n$  is the set of all words of length  $n$  over  $\Sigma$ ;
- string concatenation as multiplication, e.g.  $aw$ ,  $wu$ , or explicitly as  $a \cdot w$ ,  $w \cdot u$ ;
- $w[x..y]$  is the substring of  $w$  starting at  $x$ -th character up to  $y$ -th (inclusive).



# Prefixes and suffixes



We say  $p$  is a *prefix* of  $w$  when there exists  $k \leq |w|$  such that  $p = w[0..k]$ . We write  $p \sqsubseteq w$ . We say  $p$  is a *proper* prefix if  $k < |w|$  ( $p \sqsubset w$ ).



# Prefixes and suffixes



We say  $p$  is a *prefix* of  $w$  when there exists  $k \leq |w|$  such that  $p = w[0..k]$ . We write  $p \sqsubseteq w$ . We say  $p$  is a *proper* prefix if  $k < |w|$  ( $p \sqsubset w$ ).

Similarly,  $s$  is a *suffix* of  $w$  if  $s = w[k..]$  for some  $k$  and we write  $s \sqsupseteq w$  ( $s \sqsupset w$  for a proper suffix).



# Prefixes and suffixes



We say  $p$  is a *prefix* of  $w$  when there exists  $k \leq |w|$  such that  $p = w[0..k]$ . We write  $p \sqsubseteq w$ . We say  $p$  is a *proper* prefix if  $k < |w|$  ( $p \sqsubset w$ ).

Similarly,  $s$  is a *suffix* of  $w$  if  $s = w[k..]$  for some  $k$  and we write  $s \sqsupseteq w$  ( $s \sqsupset w$  for a proper suffix).

A word  $u$  is a *prefix-suffix* or *border* of  $w$  if  $u \sqsubset w \wedge u \sqsupset w$ .

For example, in *ababa* the word *aba* is a prefix-suffix.



# String matching



The fundamental problem is matching, finding a pattern  $u$  in a word  $w$ .

Usually the pattern is short and the word is long.

Naively this can be done in  $\mathcal{O}(|w||u|)$  since the occurrence can start at any position and get mismatched at a very late position.

For example, imagine matching  $a^k b$  in  $a^n$ .



# String matching



The key idea is to not repeat matching that we know must or must not succeed.

Once we compare  $a^k b$  at the first position of  $a^n$  we know  $a^{k-1}$  was matched, so we can just move by one and look for  $ab$ .

On the other hand, imagine a repetition of  $a^k c$  as the text  $w$ . Then once we compare  $a^k b$  at position 1, we know there's no way to match the pattern at any of the first  $k + 1$  positions.



# KMP – Knuth-Morris-Pratt



The main idea is that when we match the first  $k$  characters of  $u$  and get a mismatch on  $k + 1$ , then the part of the pattern that is already matched and can be used when restarting is the *longest prefix-suffix* of  $u[..k]$ .

Pattern: *abababbaba*

$i$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
$w$	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>	\$
$u_1$	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>	<i>a</i>																	
$u_2$																									
$u_3$																									
$u_4$																									



# KMP – Knuth-Morris-Pratt

The main idea is that when we match the first  $k$  characters of  $u$  and get a mismatch on  $k + 1$ , then the part of the pattern that is already matched and can be used when restarting is the *longest prefix-suffix* of  $u[..k]$ .

Pattern: *abababbaba*

$i$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
$w$	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>	\$
$u_1$	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>	<i>a</i>																	
$u_2$									<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>										
$u_3$																									
$u_4$																									



# KMP – Knuth-Morris-Pratt

The main idea is that when we match the first  $k$  characters of  $u$  and get a mismatch on  $k + 1$ , then the part of the pattern that is already matched and can be used when restarting is the *longest prefix-suffix* of  $u[..k]$ .

Pattern: *abababbaba*

$i$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
$w$	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>	\$
$u_1$	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>	<i>a</i>																	
$u_2$									<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>										
$u_3$											<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>											
$u_4$																									



# KMP – Knuth-Morris-Pratt

The main idea is that when we match the first  $k$  characters of  $u$  and get a mismatch on  $k + 1$ , then the part of the pattern that is already matched and can be used when restarting is the *longest prefix-suffix* of  $u[..k]$ .

Pattern: *abababbaba*

$i$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
$w$	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>	\$
$u_1$	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>	<i>a</i>																	
$u_2$									<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>										
$u_3$											<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>					
$u_4$																									



# KMP – Knuth-Morris-Pratt

The main idea is that when we match the first  $k$  characters of  $u$  and get a mismatch on  $k + 1$ , then the part of the pattern that is already matched and can be used when restarting is the *longest prefix-suffix* of  $u[..k]$ .

Pattern: *abababbaba*

$i$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
$w$	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>	\$
$u_1$	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>	<i>a</i>																	
$u_2$									<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>										
$u_3$											<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>					
$u_4$																		<i>a</i>	<i>b</i>	<i>a</i>					



# KMP – Knuth-Morris-Pratt



The main idea is that when we match the first  $k$  characters of  $u$  and get a mismatch on  $k + 1$ , then the part of the pattern that is already matched and can be used when restarting is the *longest prefix-suffix* of  $u[..k]$ .

Pattern: *abababbaba*

$i$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
$w$	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>	\$
$u_1$	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>	<i>a</i>																	
$u_2$									<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>										
$u_3$											<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>					
$u_4$																		<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	<i>b</i>	<i>a</i>



# KMP – Knuth-Morris-Pratt



$i$	0	1	2	3	4	5	6	7	8	9
$u$	$a$	$b$	$a$	$b$	$a$	$b$	$b$	$a$	$b$	$a$
$\pi$	0	0	1	2	3	4	0	1	2	3

$i$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
$w$	$a$	$b$	$a$	$b$	$a$	$b$	$b$	$b$	$a$	$b$	$a$	$b$	$a$	$b$	$a$	$b$	$b$	$a$	$b$	$a$	$b$	$a$	$b$	$b$	\$
$u_1$	$a$	$b$	$a$	$b$	$a$	$b$	$b$	$a$																	
$u_2$																									
$u_3$																									
$u_4$																									



# KMP – Knuth-Morris-Pratt



$i$	0	1	2	3	4	5	6	7	8	9
$u$	$a$	$b$	$a$	$b$	$a$	$b$	$b$	$a$	$b$	$a$
$\pi$	0	0	1	2	3	4	0	1	2	3

$i$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
$w$	$a$	$b$	$a$	$b$	$a$	$b$	$b$	$b$	$a$	$b$	$a$	$b$	$a$	$b$	$a$	$b$	$b$	$a$	$b$	$a$	$b$	$a$	$b$	$b$	\$
$u_1$	$a$	$b$	$a$	$b$	$a$	$b$	$b$	$a$																	
$u_2$									$a$	$b$	$a$	$b$	$a$	$b$	$b$										
$u_3$																									
$u_4$																									



# KMP – Knuth-Morris-Pratt



$i$	0	1	2	3	4	5	6	7	8	9
$u$	$a$	$b$	$a$	$b$	$a$	$b$	$b$	$a$	$b$	$a$
$\pi$	0	0	1	2	3	4	0	1	2	3

$i$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
$w$	$a$	$b$	$a$	$b$	$a$	$b$	$b$	$b$	$a$	$b$	$a$	$b$	$a$	$b$	$a$	$b$	$b$	$a$	$b$	$a$	$b$	$a$	$b$	$b$	\$
$u_1$	$a$	$b$	$a$	$b$	$a$	$b$	$b$	$a$																	
$u_2$									$a$	$b$	$a$	$b$	$a$	$b$	$b$										
$u_3$											$a$	$b$	$a$	$b$											
$u_4$																									



# KMP – Knuth-Morris-Pratt



$i$	0	1	2	3	4	5	6	7	8	9
$u$	$a$	$b$	$a$	$b$	$a$	$b$	$b$	$a$	$b$	$a$
$\pi$	0	0	1	2	3	4	0	1	2	3

$i$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
$w$	$a$	$b$	$a$	$b$	$a$	$b$	$b$	$b$	$a$	$b$	$a$	$b$	$a$	$b$	$a$	$b$	$b$	$a$	$b$	$a$	$b$	$a$	$b$	$b$	\$
$u_1$	$a$	$b$	$a$	$b$	$a$	$b$	$b$	$a$																	
$u_2$									$a$	$b$	$a$	$b$	$a$	$b$	$b$										
$u_3$											$a$	$b$	$a$	$b$	$a$	$b$	$b$	$a$	$b$	$a$					
$u_4$																									



# KMP – Knuth-Morris-Pratt



$i$	0	1	2	3	4	5	6	7	8	9
$u$	$a$	$b$	$a$	$b$	$a$	$b$	$b$	$a$	$b$	$a$
$\pi$	0	0	1	2	3	4	0	1	2	3

$i$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
$w$	$a$	$b$	$a$	$b$	$a$	$b$	$b$	$b$	$a$	$b$	$a$	$b$	$a$	$b$	$a$	$b$	$b$	$a$	$b$	$a$	$b$	$a$	$b$	$b$	\$
$u_1$	$a$	$b$	$a$	$b$	$a$	$b$	$b$	$a$																	
$u_2$									$a$	$b$	$a$	$b$	$a$	$b$	$b$										
$u_3$											$a$	$b$	$a$	$b$	$a$	$b$	$b$	$a$	$b$	$a$					
$u_4$																		$a$	$b$	$a$					



# KMP – Knuth-Morris-Pratt



$i$	0	1	2	3	4	5	6	7	8	9
$u$	$a$	$b$	$a$	$b$	$a$	$b$	$b$	$a$	$b$	$a$
$\pi$	0	0	1	2	3	4	0	1	2	3

$i$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
$w$	$a$	$b$	$a$	$b$	$a$	$b$	$b$	$b$	$a$	$b$	$a$	$b$	$a$	$b$	$a$	$b$	$b$	$a$	$b$	$a$	$b$	$a$	$b$	$b$	\$
$u_1$	$a$	$b$	$a$	$b$	$a$	$b$	$b$	$a$																	
$u_2$									$a$	$b$	$a$	$b$	$a$	$b$	$b$										
$u_3$											$a$	$b$	$a$	$b$	$a$	$b$	$b$	$a$	$b$	$a$					
$u_4$																		$a$	$b$	$a$	$b$	$a$	$b$	$b$	$a$



# KMP – Knuth-Morris-Pratt

**Fact:** *A prefix-suffix of a prefix-suffix of  $w$  is a prefix-suffix of  $w$ .*

This implies that  $\pi[i + 1] \leq \pi[i] + 1$ .

Here's a  $\mathcal{O}(|u|^2)$  algorithm to compute the  $\pi$  function for  $u$ :

```
pi[0] = 0
for i in 1..n
    j = pi[i - 1]
    while j > 0 && u[i] != u[j]
        j -= 1
    if u[i] == u[j]
        j += 1
    pi[i] = j
```



# KMP – Knuth-Morris-Pratt



**Fact:** *A prefix-suffix of a prefix-suffix of  $w$  is a prefix-suffix of  $w$ .*

One more optimisation stemming from this is that we only need to look at prefix-suffix of the previous prefix-suffix to possibly extend. We get  $\mathcal{O}(|u|)$ :

```
pi[0] = 0
for i in 1..n
    j = pi[i - 1]
    while j > 0 && u[i] != u[j]
        j = pi[j - 1] // <--
    if u[i] == u[j]
        j += 1
    pi[i] = j
```



# KMP – Knuth-Morris-Pratt



Having a precomputed  $\pi$  we can find all matches of  $u$  in any  $w$  in time  $\mathcal{O}(|w|)$ .

```
j = 0
for i in 0..|w|
    while j > 0 && w[i] != u[j]
        j = pi[j - 1]
    if w[i] == u[j]
        j += 1
    if j == |u|
        report_found(i - |u|)
        j = pi[j - 1]
```

The time analysis is amortised –  $j$  cannot increase more than  $|w|$  times.



A *trie* (pronounced either way, you do you), also called a *prefix tree*, is a specific tree structure representing a set of strings  $S$  over a fixed alphabet  $\Sigma$ .

Each node represents a prefix of some string in  $|S|$ , and is a leaf or has  $\Sigma$  children. Going down in the trie, the edge that we choose appends another letter to the current string.

Nodes that represent strings in  $S$  are marked.

The depth of the tree is thus the length of the longest string in the set, while the number of nodes is limited simultaneously by:

- sum of lengths,
- $\Sigma$  times the length of the longest string.



Tries can be further compressed by representing long paths with no branching with a single long edge.

When traversing we still look up by the next letter, but then retrieve a potentially longer substring to compare with our lookup.

This is then called a *radix tree*.



Tries have predictable speed and no collisions, unlike generic hash tables.

They automatically sort all keys lexicographically.

One can run prefix-based queries, like retrieving all strings with a given prefix.



Aho-Corasick is an algorithm that preprocesses  $k$  different patterns and creates a *deterministic finite automaton* which can match all of them simultaneously.

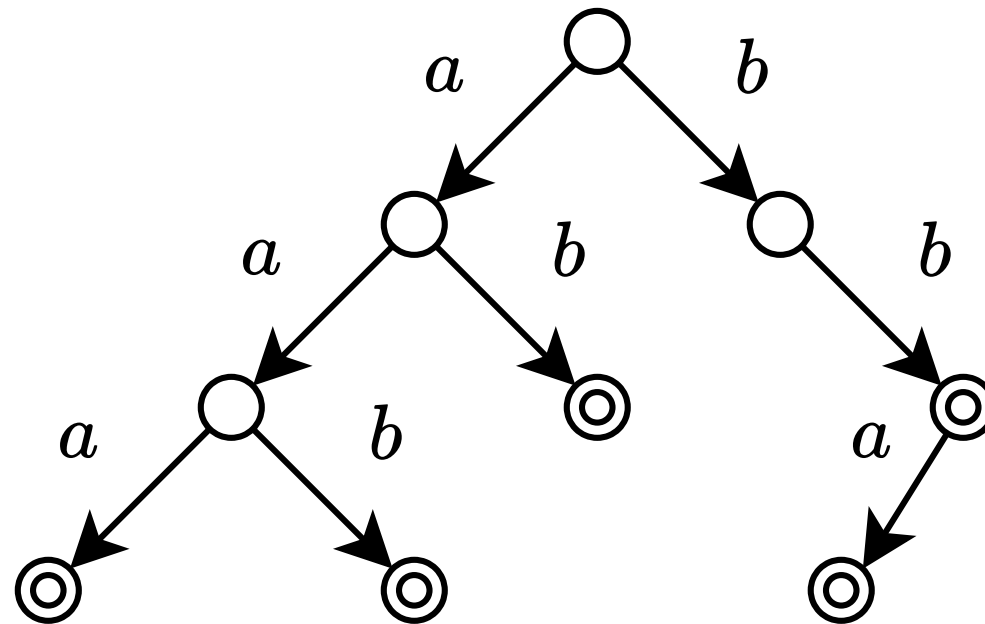
The structure takes  $\mathcal{O}(\Sigma m)$  space, where  $m$  is the sum of lengths of patterns. Matching happens in  $\mathcal{O}(n)$ , where  $n$  is the length of text.



# Aho-Corasick – construction

We start by building a trie on all keys.

For a running example we take  $\{aaa, aab, ab, bb, bba\}$  as the dictionary.

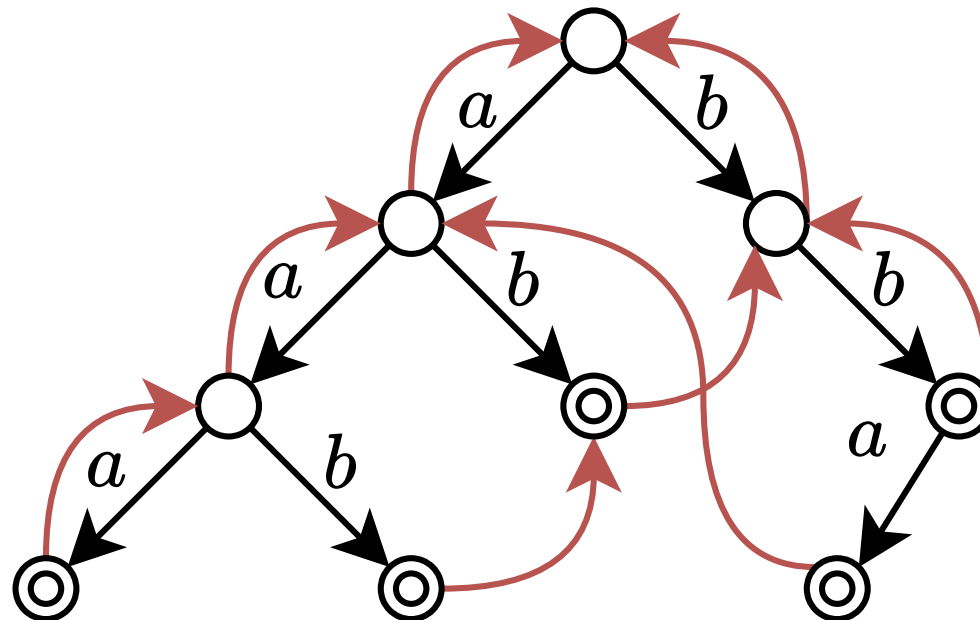




# Aho-Corasick – construction

We now need to add all missing transitions.

We compute *suflinks*, links to the nodes representing the longest proper suffix of the string represented by the current node.

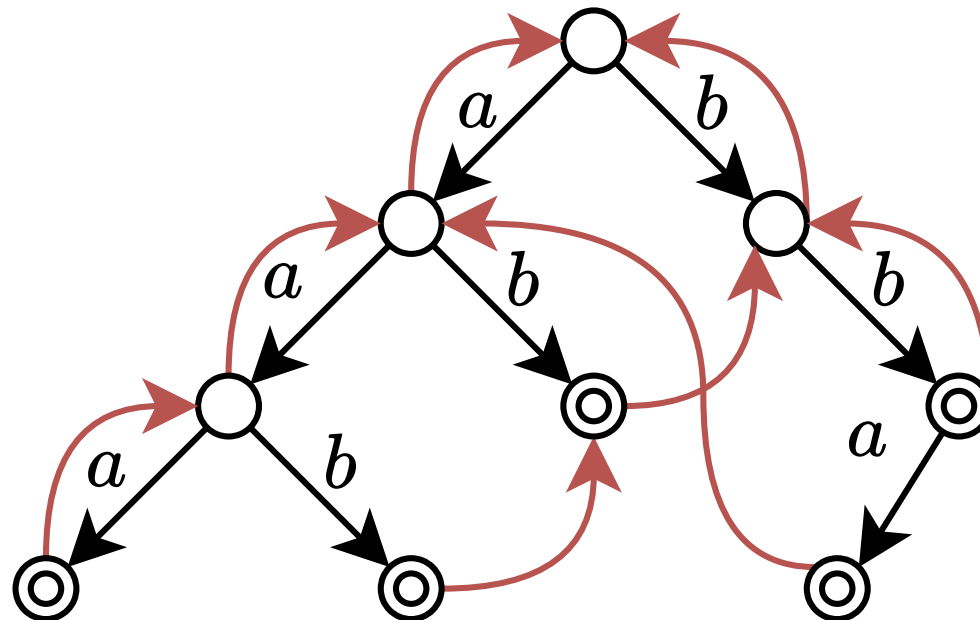




# Aho-Corasick – construction

A suflink of  $v$  with parent  $p$  where the  $p \rightarrow v$  edge is labelled with  $x$  is now given by going to the parent, following its suflink, and then transitioning over  $x$ .

Suflinks always go “up” in the tree, so this can be computed directly with a BFS.

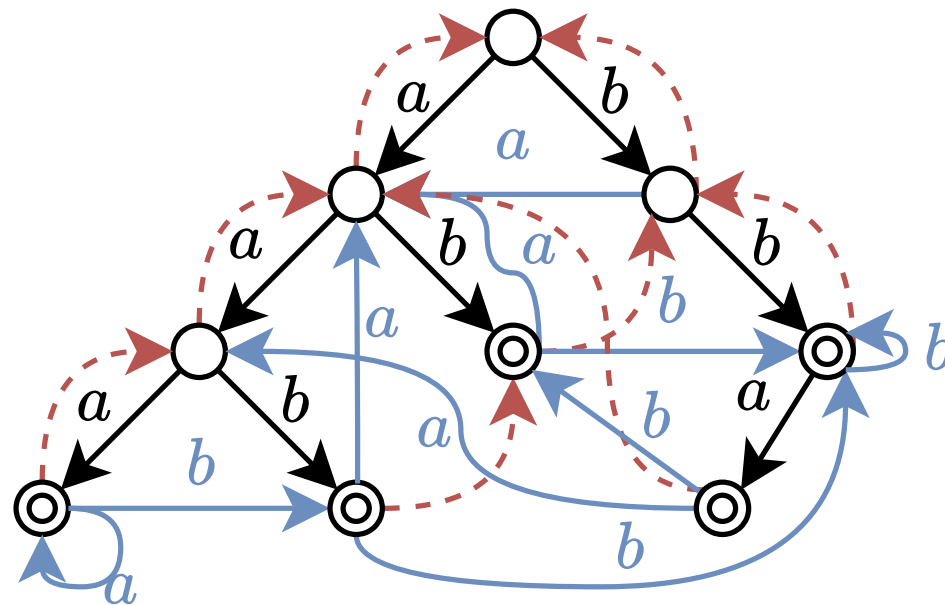




# Aho-Corasick – construction

Finally, a missing transition over  $x$  is given: go to the suflink and picking  $x$ .

This can be computed right after the suflink in BFS order.

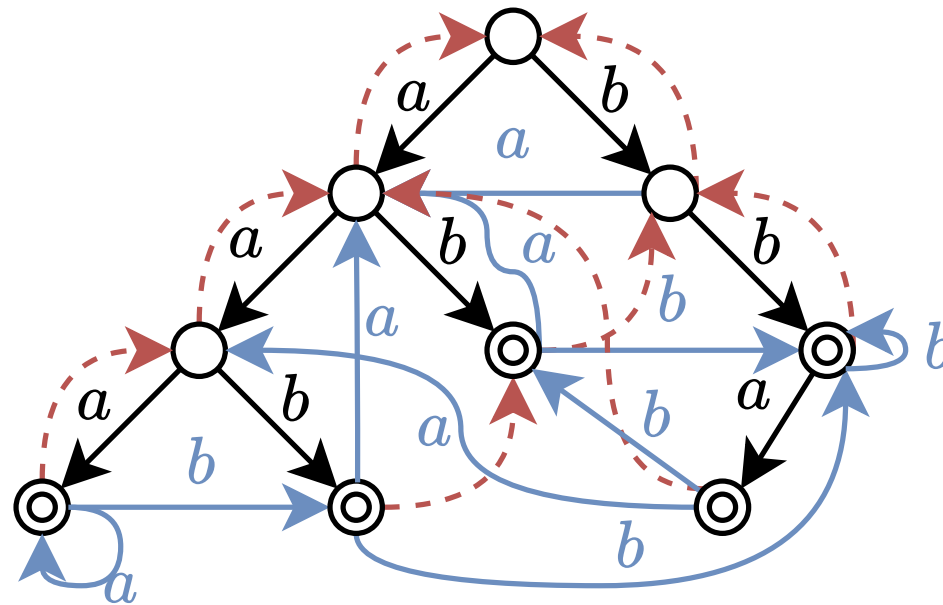




# Aho-Corasick – construction

Matching a text is now just running the DFA: read a letter of the text, transition through the appropriate edge.

When we visit a marked node, output a match.

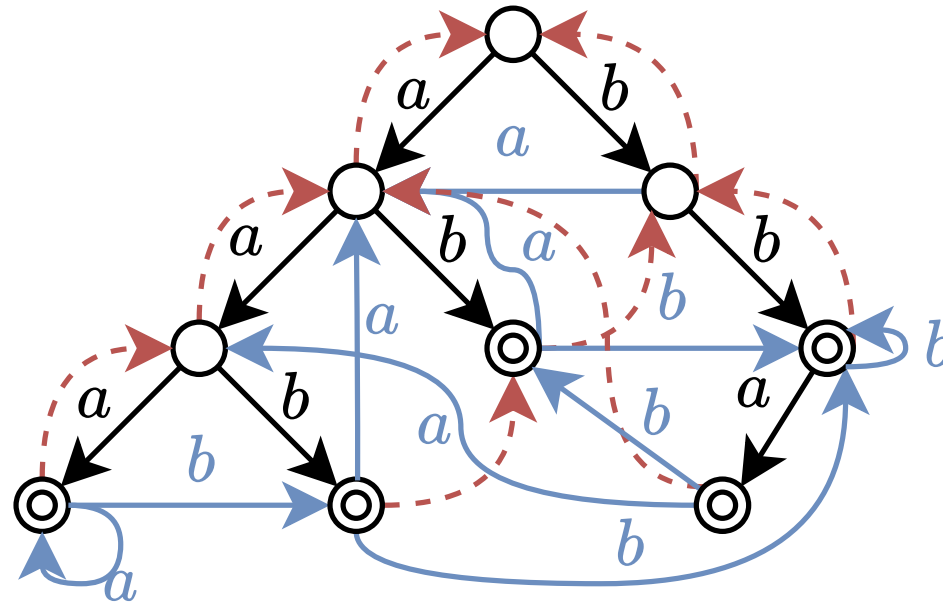




# Aho-Corasick – construction

If we want to output *all* matches then we also need to compute the next marked node that can be reached by following suflinks (if any).

E.g. here matching *aab* also matches *ab*.





# Karp-Miller-Rosenberg (Suffix Array)



A *suffix array* is a sorted array of all suffixes of a string  $w$ ,  $|w| = n$ .

It can be constructed in linear time, but the simplest way is KMR in  $\mathcal{O}(n \log n)$ .

KMR constructs an array where  $\text{kmr}[i][k]$  is an identifier of the substring

$$w[i..i + 2^k]$$

The identifiers are different for different substrings and equal for equal ones. Moreover, order on the ids is also the lexicographical order on the substrings.

The final level of this array ( $k = \log n$ ) is the suffix array.



# Karp-Miller-Rosenberg (Suffix Array)



Construction is inductive, starting from level 0 – map each character to its ID (index in an ordered alphabet). For the next level we combine pairs of identifiers to construct a twice-longer substring and give unique IDs by sorting.

	a	b	a	a	b	b	b	a	b	a	a	b	#...
$2^0$	1	2	1	1	2	2	2	1	2	1	1	2	0...
$2^1$	2	4	1	2	5	5	4	2	4	1	2	3	0...
$2^2$	4	7	2	5	10	9	8	4	7	1	3	6	0...
$2^3$	5	9	2	6	12	11	10	4	8	1	3	7	0...
$2^4$	5	9	2	6	12	11	10	4	8	1	3	7	0...

Highlighted:  $\text{kmr}[4][3]$  and the IDs from previous level that contribute to it.



# Suffix Array



The suffix array itself already allows us to do some cool things.

For example, it allows us to look for a pattern of length  $m$  in the string in  $m \log n$  time by binary-searching the sorted suffixes.

Compare this with KMP:

- in KMP the pattern is fixed and preprocessed, then we can search *any text* for the pattern;
- with a suffix array the text is fixed, and we can search for *any pattern*.



# LCP Array



A suffix array can be augmented further with a Longest Common Prefix array.  
 $\text{lcp}[i]$  is the length of the longest common prefix of the  $i$ -th and  $i - 1$ -th suffix.



# LCP Array

0	#	lcp
1	<i>aab#</i>	
1	<i>aabbbabaab#</i>	
1	<i>ab#</i>	
1	<i>abaab#</i>	
1	<i>abaabbbabaa#</i>	
1	<i>abbbabaab#</i>	
1	<i>b#</i>	
1	<i>baab#</i>	
1	<i>baabbbabaab#</i>	
1	<i>babaab#</i>	
1	<i>bbabaab#</i>	
1	<i>bbbabaab#</i>	



# LCP Array

0	#	lcp
1	<i>aab</i> #	0
1	<i>aabbb</i> <i>ab</i> <i>ab</i> #	3
1	<i>ab</i> #	1
1	<i>ab</i> <i>aab</i> #	2
1	<i>abaabbb</i> <i>ab</i> <i>aa</i> #	5
1	<i>abbb</i> <i>ab</i> <i>ab</i> #	2
1	<i>b</i> #	0
1	<i>baab</i> #	1
1	<i>baabbb</i> <i>ab</i> <i>ab</i> #	4
1	<i>bab</i> <i>aab</i> #	2
1	<i>bbab</i> <i>aab</i> #	1
1	<i>bbb</i> <i>ab</i> <i>ab</i> #	2

Using LCP we can solve various problems about substrings.

For example, finding the longest substring that occurs at least twice in linear time (exercise 😊).

Using a constant time RMQ structure we can also perform the arbitrary pattern matching in  $\mathcal{O}(m + \log n)$ .



# Suffix Tree



A *suffix tree* of  $w$  is a compressed trie of suffixes of  $w$ . It has  $n$  leaves, where leaf number  $i$  represents the suffix  $w[i..n]$ . It has  $2n$  nodes total and can be built in linear time.

Finding a pattern in the string can now be done in  $\mathcal{O}(m)$ . It can also be used for solving a plethora of other problems in linear time:

- Longest common substrings of two strings,
- aforementioned longest repeating substring,
- most frequently occurring substrings (of some length),
- shortest string not occurring in a set of strings,
- matching a pattern with  $k$  allowed mistakes (in  $\mathcal{O}(nk)$ ).



# Suffix Tree – Construction



One can construct the suffix tree from a suffix array and LCP.

The idea is that one can traverse the tree down by going through the suffix array and then find the branches using LCP.

Instead we'll present the Ukkonen's Algorithm that builds a suffix tree without this intermediate step.

Note that the suffix array can be trivially constructed from a suffix tree (leaves are already ordered because it's a trie).



# Suffix Tree – Ukkonen's Algorithm



We add suffixes to the trie one by one, starting with just the root. During construction we ignore terminals.

At step  $i + 1$  we take the suffix tree of  $w[..i]$  and modify it to be a suffix tree of  $w[..i + 1]$ .

Naively, we would add the suffix  $w[j..i + 1]$  by finding  $w[j..i]$  in the tree and making sure the extension  $w[j..i]w[i + 1]$  is also present in the tree.

1. If  $w[j..i]$  is a leaf extend the label to include  $w[i + 1]$ ;
2. Else, if  $w[j..i]$  is a node with no path starting with  $w[i + 1]$ , create a new leaf.
3. If  $w[j..i]$  has a path starting with  $w[i + 1]$  we are done.



# Suffix Tree – Ukkonen's Algorithm



1. If  $w[j..i]$  is a leaf extend the label to include  $w[i + 1]$ ;
2. Else, if  $w[j..i]$  is a node with no path starting with  $w[i + 1]$ , create a new leaf.
3. If  $w[j..i]$  has a path starting with  $w[i + 1]$  we are done.

Naively, this takes  $\mathcal{O}(n^3)$ . We will accelerate it to  $\mathcal{O}(n)$ .

First, we maintain suffix links:  $w[j]w[j + 1..i]$  has a link to  $w[j + 1..i]$ .

This makes navigation easy – we can maintain a pointer to the deepest leaf  $w[1..i]$ , and then to find  $w[2..i]$  we follow its suffix link and go down.

Since we know it's in the tree we can jump whole labels with no comparison.



# Suffix Tree – Ukkonen's Algorithm



1. If  $w[j..i]$  is a leaf extend the label to include  $w[i + 1]$ ;
2. Else, if  $w[j..i]$  is a node with no path starting with  $w[i + 1]$ , create a new leaf.
3. If  $w[j..i]$  has a path starting with  $w[i + 1]$  we are done.

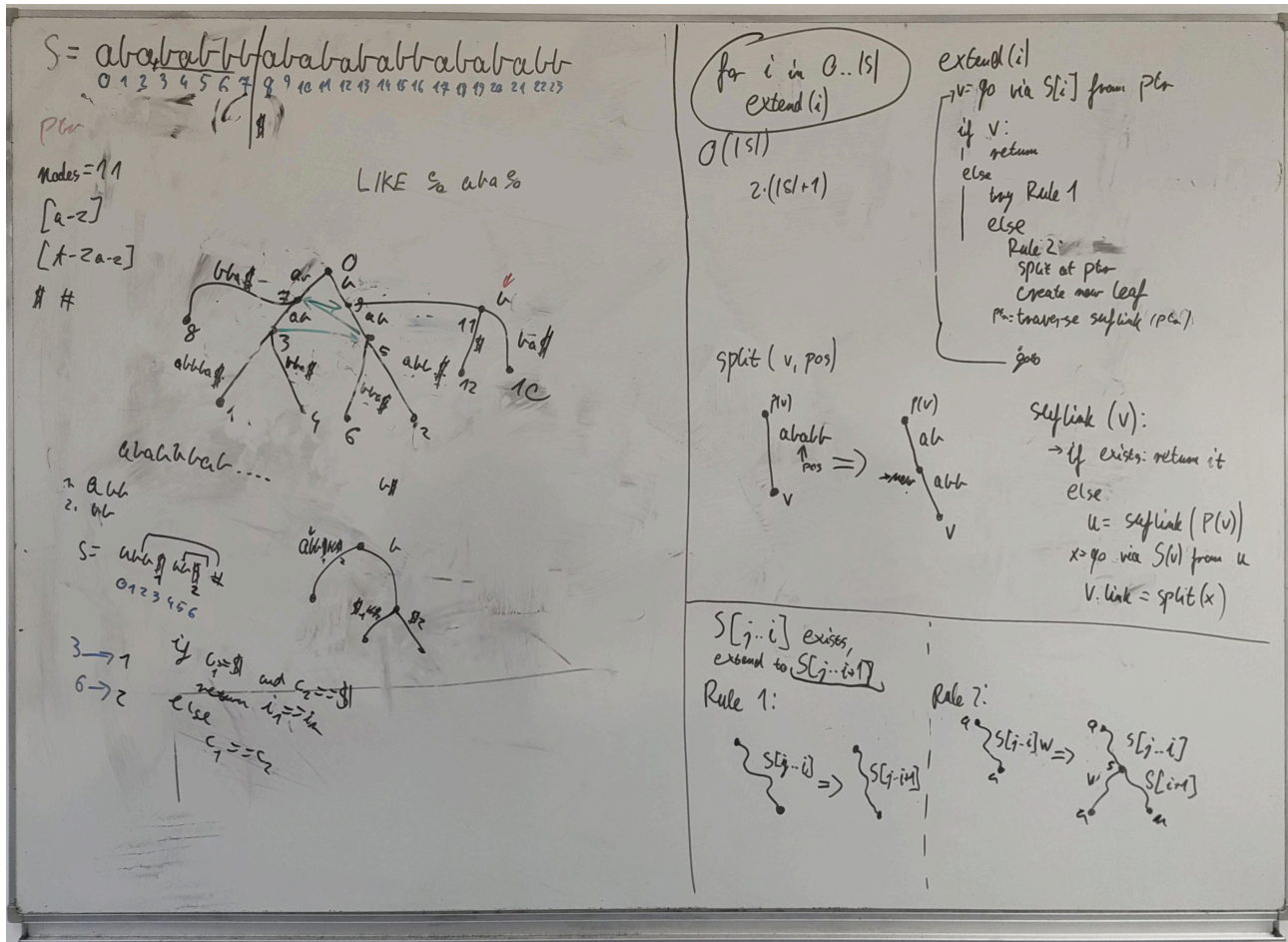
Once we apply rule 3 we can stop, i.e. every new suffix will be represented.

One more trick – use a tree-global variable to mark the end of the string (then the Rule 3 happens in constant time).



# Suffix Tree – Ukkonen's Algorithm

More details in the book:  
Gusfeld 2010, Algorithms  
on Strings, Trees,  
and Sequences,  
Chapter 5





Hashing is a *heuristic* method of solving many string problems relying on comparisons.

The idea is to compute a single integer value as a *fingerprint* of the string that allows us to quickly say if two strings are *different*, but which can have collisions causing different strings to appear equal.

We will compute the fingerprint in a way that allows for quick recovery of substring fingerprints.



We need two parameters: a base  $b$  and a modulus  $m$ . Best characteristics are obtained when there are both prime.

We need  $b \geq |\Sigma|$ , and the higher the modulus the lower the chance of collisions.

Good values for base are  $b = 2$  for binary alphabets,  $p = 29$  for English lowercase.

Good values for modulus are  $m = 10^9 + 7$ ,  $m = 10^9 + 9$ ,  $m = 10^{18} + 9$ .



The hash of a word  $w$  of length  $n$  for given  $b, m$  is:

$$w[0]b^0 + w[1]b^1 + \dots + w[n-1]b^{n-1} \bmod m$$

If we compute the *prefix hash* of  $w$  we can then obtain the hash of any substring via:

$$h(w[i..j]) = (h(w[..j]) - h(w[..i])) \cdot b^{-i} \bmod m$$

We need the multiplicative inverse of  $b$  modulo  $m$ , but it is constant for fixed  $b$  and  $m$ .



Calculating the chance for collision requires some probability theory.

The strongest result is given if  $m$  is a randomly chosen prime number, but for competitive programming it's enough to “randomly” choose your favourite one.

The chance is roughly  $\frac{1}{m}$  of collision *per string pair*, which gives about 0.1% chance of collision under  $10^6$  compared pairs.

Tests can be antagonistic for a given modulo choice. A safe bet is using two different moduli and comparing both hashes. Strings are equal iff they're equal under both. This decreases collision chances to  $\frac{1}{m_1 m_2}$  per comparison.



# Hashing – practical notes



When computing hashes it's important to use modulo everywhere.

The powers  $b^k$  have to be computed modulo  $m$ . Multiplying by the character code has to be done modulo. Addition has to be done modulo.

Hashing is a quite expensive operation for the CPU, as modulo is expensive. Hashing solutions are likely to be slower than well-implemented deterministic algorithms. This is especially visible when using more than one hash.